

Case Docket No. GNE.3230R1C13
Date: September 5, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence and all marked Applicant(s) Eaton et al. attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Appl. No. 10/063,521 Office, P.O. Box 2327, Arlington, VA 22202, on Filed May 1, 2002 September 5, 2002 For SECRETED AND **TRANSMEMBRANE** AnneMarie Kaiser, Reg. No POLYPEPTIDES AND **NUCLEIC ACIDS ENCODING** THE SAME RECEIVED Examiner Unknown SEP 1 2 2002 Group Art Unit: 1631

TRANSMITTAL LETTER

TECH CENTER 1600/2900

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with four (4) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

AnneMarie Kaiser Registration No. 37,649

Attorney of Record

GNE.3230R1C13

TRETHETENITED STATES PATENT AND TRADEMARK OFFICE

| Applicant | : | Eaton et al. |) | Group Art Unit 1631 |
|-----------|---|--|---------|-----------------------|
| App. No. | : | 10/063,521 |) | RECEIVED |
| Filed | : | May 1, 2002 |) | SEP 1 2 2002 |
| For | : | SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING |)))) | TECH CENTER 1600/2900 |
| | | THE SAME |) | |
| Examiner | : | Unknown |) | |

INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated

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ATTY. DOCKET NO. GNE.3230R1C13

APPLICATION NO. US 10/063,521

BY APPLICANT

APPLICANT Eaton et al.

(USE SEVERAL SHEETS IF NECESSARY)

FILING DATE May 1, 2002 GROUP 1631

| U.S. PATENT DOCUMENTS | | | | | | | | |
|-----------------------|----|-----------------|----------|--------|----------------|--|---------------------------------|--|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS SUBCLASS | | FILING DATE (IF APPROPRIATE) | |
| | 1. | 5,546,637 | 07/16/97 | Jacobs | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|-----------------|---------------------------------|---------|-------|----------|-------------|--|
| EXAMINER | DOCUMENT NUMBER | DOCUMENT NUMBER DATE COUNTRY CL | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
| INITIAL | | | | | YES | NO | |
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| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) | | | | | |
|---------------------|--|--|--|--|--|--|
| | 2. | Klein et al. Selection for Genes Encoding Secreted Proteins and Receptors. Proc. Natl. Acad. Sci., 93:7108-7113 (1996) | | | | |
| | 3. | Database Search, DNA Sequence Alignments [BLASTN 2.2.1[July-12-2001], NCBI] | | | | |
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EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.